PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## UTILITY **PATENT APPLICATION TRANSMITTAL**

Attorney Docket No. 4767-00016 Vernon D. Karman First Inventor Title Surface Pasteurization Method

Confly for new nonprovisional applications under 37 CFR 1.53(b)   Express Mail Label No.   EV342892973US	1100		11111	<u> </u>						_
APPLICATION ELEMENTS  See MPEP chapter 500 concerning utility patent application contents.  ADDRESS TO:  Assistant Commissioner for Patents Box Patent Application (Washington, Dc 2023)  Lower Transmittal Form (e.g., PTOSSIT)  Applicant claims small entity status.  See 37 CFR 1.27.  Descriptive title of the invention or creating appendix  - Brief Summary of the invention or Brief Summary of Brief Summary of Brief Summary or Brie	(Only for new nonprovisional	al applications under 37 CFR 1.53(b))	Ex	press N	1ail Label No.	EV	34289	297	3US	ユ
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Computer Program (Appendix)    Applicant claims small entity status. See 37 CFR 1.27.   Applicant claims small entity status. See 37 CFR 1.27.   Applicant claims small entity status. See 37 CFR 1.27.   Applicant claims small entity status. See 37 CFR 1.77.   Computer Program (Appendix) (if applicable, all necessary)				7	CD-ROM or CD	-R in d	uplicate.	large	table or	
See 37 CFR 1.27.  3.	1. (Submit an original and a du	plicate for fee processing)		·· [	Computer Progr	ram (A)	opendix)		_	4
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- Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4.	- Descriptive title o	of the invention		b. Sp						2 1
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- Abstract of the Disclosure  - Attorney  - Attorney  - Attorney  - Attorney  - Attorney  - Attorney  - Abstract of the Disclosure  - Copies of IDS  - Statement (IDS)/PTO-1449  - Preliminary Amendment  - Return Respired Copy of Priority Document(s)  - (For continuation/divisional with Box 18 completed)  - (For continuation/divisional with Box 18 completed)  - (For continuation Statement attached deleting inventor(s)  - (For continuation Data Sheet See 37 CFR 1.76  - (For continuation Data Sheet See 37 CFR 1.76  - (For continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For continuation Data Sheet under 37 CFR 1.76:  - (For continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Continuation Data Sheet under 37 CFR 1.76:  - (For Explain Data Sheet Under 37 CFR				9	_			eet & (		
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5. Oath or Declaration  a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) b. Copy from a prior application (37 CFR 1.63 (d)) c. DELETION OF INVENTOR(S) signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Data Sheet under 37 CFR 1.76: Continuati	<i>A</i>	S.C. 113) Total Sheets 5	]	11.	English Trans	lation (	Documer	nt <i>(if a<sub>l</sub></i>		)S
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b. Copy from a prior application (37 CFR 1.63 (d))  (for continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:    Continuation   Divisional   Continuation-In-part (CIP)   Of prior application No.   Of prior application No.   Of prior application information informatio		tod (existed or copy)		13.	Preliminary A	mendn	nent			
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  17. Other:  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  19. Continuation Data Sheet under 37 CFR 1.76:  10. Other:  11. Other:  12. Other implication Data Sheet under 37 CFR 1.76:  13. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application information:  19. Continuation or divisional application not information:  19. CONTINUATION OR DIVISIONAL APPS only: The entire disciosure of the prior application, from which an oath or declaration is supplied under Box 5b, its considered a part of the disciosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  19. Correspondence address below  19. Correspondence address below  Name  Michael E. Taken  Andrus, Sceales, Starke & Sawall, LLP  100 East Wisconsin Avenue, Suite 1100  State WI Zip Code 53202-4178  Country USA  Telephone (414) 271-7590 Fax (414) 271-577  Name (Print/Type) Michael E. Taken  Registration No. (Attorney/Agent) 28,922	Conv from a	prior application (37 CFR 1.63 (d))		14.	Return Recei	pt Post	card (MF	PEP 50 ed)	03)	
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Application Data Sheet. See 37 CFR 1.76   17.	Signed statement attached deleting inventor(s)			Nonpublication Request under 35 U.S.C. 122						
or its equivalent.  17. Other:  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:    Continuation   Divisional   Continuation-in-part (CIP)   of prior application No.:   / Prior application information:   Examiner.   Group Art Unit:   Prior application information:   Group Art Unit:   Prior application:   Group Art Unit:				16.	(b)(2)(B)(i). A	pplicar	it must a	ttach f	orm PTO/SB/	35
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No.:  Prior application information:  Examiner:  Group Art Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  19. CORRESPONDENCE ADDRESS  19. Correspondence address below  Name  Michael E. Taken  Andrus, Sceales, Starke & Sawall, LLP  Address  100 East Wisconsin Avenue, Suite 1100  City  Milwaukee  State  WI  Zip Code 53202-4178  Country  USA  Telephone  (414) 271-7590  Fax (414) 271-577  Name (Print/Type)  Michael E. Taken  Registration No. (Attorney/Agent)  28,922							1			
or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No.:  Prior application information: Examiner:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  19. Correspondence address below    Value   Va										
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Prior application information:  Examiner:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  19. Correspondence address below  Name  Michael E. Taken  Andrus, Sceales, Starke & Sawall, LLP  Address  100 East Wisconsin Avenue, Suite 1100  City  Milwaukee  State  WI  Zip Code  53202-4178  Country  USA  Telephone  (414) 271-7590  Fax  (414) 271-577  Name (Print/Type)  Michael E. Taken  Registration No. (Attorney/Agent)  28,922			P)	of	prior application No.:		1			
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Andrus, Sceales, Starke & Sawall, LLP           Address         100 East Wisconsin Avenue, Suite 1100           City         Milwaukee         State         WI         Zip Code         53202-4178           Country         USA         Telephone         (414) 271-7590         Fax         (414) 271-577           Name (Print/Type)         Michael E. Taken         Registration No. (Attorney/Agent)         28,922	Customer Number or Bar Co	ode Label (Insert Customer No. or Attach	3 bar cod	le label here	or [		Correspond	dence ad	ddress below	
Address         100 East Wisconsin Avenue, Suite 1100           City         Milwaukee         State         WI         Zip Code         53202-4178           Country         USA         Telephone         (414) 271-7590         Fax         (414) 271-577           Name (Print/Type)         Michael E. Taken         Registration No. (Attorney/Agent)         28,922	Name	Michael E. Taken								
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1: 1.07 1.6	Name (Print/Type)	Michael E. Taken		Regis	stration No. (At	torney	/Agent)		28,922	
		michael E. Jakes			<u></u> :	Ť	Date	07/	08/2003	

PTO/SB/17 (01-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB control number.

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## **FEE TRANSMITTAL** for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

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Complete if Known				
Application Number				
Filing Date				
First Named Inventor	Vernon D. Karman			
Examiner Name				
Art Unit				
5 1 1	4767-00016			

TOTAL AMOUNT OF PAYMENT (\$) 055.00 Attorney Docket No. 14161 George						
METHOD OF PAYMENT (check all that apply)  FEE CALCULATION (continued)						
✓ Check Credit card Money Other None	3. ADD	ITIC	DNAL	FEE	S	
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Number Deposit			2052		Surcharge - late provisional filing fee or	
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Name The Commissioner is authorized to: (check all that apply)		I	1053		Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812 2,5		1812 2	.,	For filing a request for ex parte reexamination	
Charge any additional fee(s) during the pendency of this application	1804 9	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805 1,8	840*	1805 1	1,840*	Requesting publication of SIR after Examiner action	
to the above-identified deposit account.	1251 1	110	2251	55	Extension for reply within first month	
FEE CALCULATION		410	2252	205	Extension for reply within second month	
1. BASIC FILING FEE		930	2253	465	Extension for reply within third month	
Large Entity Small Entity  Fee Fee Fee Fee Fee Description Fee Paid	1254 1,4		2254	725	Extension for reply within fourth month	
Code (\$) Code (\$)	1255 1,9	1	2255	985	Extension for reply within fifth month	
1001 750 2001 375 Utility filing fee \$375	•	ŀ				
1002 330 2002 165 Design filing fee		320	2401		Notice of Appeal Filing a brief in support of an appeal	
1003 520 2003 260 Plant filing fee		320	2402 2403		Request for oral hearing	
1004 750 2004 375 Reissue filing fee		280			· ·	
1005 160 2005 80 Provisional filing fee	I '	510	1451		Petition to institute a public use proceeding Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 375.00		110	2452			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1,		2453		Petition to revive - unintentional	
Fee from		,300	2501		Utility issue fee (or reissue)	<b></b>
Extra Claims below Fee Paid  Total Claims 44 -20** = 24 × 9 = \$216		470	2502		i Design issue fee 5 Plant issue fee	
Total Claims   44   -20** =   24   X   9   =   32.10		630	2503		) Petitions to the Commissioner	
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Large Entity   Small Entity Fec Fee Fee Fee Fee Description	1806	180	1806	3 180	Submission of Information Disclosure Stmt Recording each patent assignment per	
Code (\$) Code (\$)	8021	40	802		property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	750	2809	9 375	Filing a submission after final rejection	
1201 84 2201 42 Independent claims in excess of 3		700			(37 ČFR 1.129(a))	
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	υ 3/ <b>5</b>	5 For each additional invention to be examined (37 CFR 1.129(b))	
1204 84 2204 42 ** Reissue independent claims over original patent	1801	750	2801	37	5 Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	90	<ol> <li>Request for expedited examination of a design application</li> </ol>	
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SUBTOTAL (2) (\$) 258.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00						
or number previously paid, it greater, For Reissues, see above				_		

SUBMITTED BY				(Complete	(п аррпсаые)
Name (Print/Type)	Michael E. Taken	Registration No.	28,120	Telephone	(414) 271-7590
Signature	michael E. Jak	(Alloniev/Adend		Date	07/08/2003
Signature	7.00 40404 (2.1				

PTO/SB/35 (11-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	First Name	d Inventor	Vernon D. Karman		
REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	Title	Surface Pasteurization Method			
	Atty. Docke		4767-00016		
	7 tay. Doort				

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

July 8, 2003	Inichael E. Sake
Date	Signature
	Michael E. Taken (Reg. No. 28,120)
	Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(b)(iii)).